Docket No. 293163US26PCT LFM Day G 10 13 JUL 2006

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Nobuaki MATSUOKA, et al.

SERIAL NO: New U.S PCT Application Based on PCT/JP04/16724

EXAMINER: FILED: Herewith

SUBSTRATE PROCESSING APPARATUS AND SUBSTRATE PROCESSING METHOD FOR:

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS

ALEXANDRIA, VIRGINIA 22313

SIR: Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

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(AP20 Rec's PULLETO 19585)752066AU: 1792 Receipt date: 07/13/2006 SERIATING TO TO TO NEW U.S PCT Application ATTY DOCKET NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 (Modified) 293163US26PCT Based on PCT/JP04/16724 APPLICANT LIST OF REFERENCES CITED BY APPLICANT Nobuaki MATSUOKA, et al. FILING DATE GROUP Herewith U.S. PATENT DOCUMENTS DOCUMENT SUB FILING DATE EXAMINER DATE NAME CLASS INITIAL NUMBER CLASS IF APPROPRIATE AA 6 168 667 01/02/01 YOSHIOKA, Kazutoshi AB AC AD AC AF AC AΗ ΑI AJ ΔK AL AM AN FOREIGN PATENT DOCUMENTS DOCUMENT TRANSLATION DATE COUNTRY NUMBER YES 08-162514 06/21/96 JP(with English abstract) NO AP 11-163079 06/18/99 JP(with English abstract) NO 04/28/00 NO AO 2000-124124 JP(with English abstract) NO AP 10-144765 05/29/98 JP(with English abstract)

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Receipt date: 07/13/2006

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Nobuaki MATSUOKA, et al.

Docket No. 293163 US

# STATEMENT OF RELEVANCY

- References AO & AP have been cited in the International Search Report. A copy
  of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- References AQ is discussed in the specification. A copy of the reference is being submitted herewith.
- References AA & AR are additional prior art known to Applicant. A copy of these references is being submitted herewith.